Longitudinal changes in the nature, severity and frequency of COPD exacerbations


Patients with chronic obstructive pulmonary disease (COPD) are prone to exacerbations that are an important determinant of health-related quality of life, morbidity and mortality [1, 2]. Exacerbations are characterised by acute worsening of symptoms, increased airway inflammation and physiological deterioration [3]. They have become an important outcome measure in the study of therapy in COPD. Recently, two studies have suggested that exacerbations may affect disease progression by accelerating the forced expiratory volume in one second (FEV1), a decline characteristic of COPD [4, 5]. The authors have estimated that exacerbations may account for ~25% of the FEV1 decline in COPD [5]. However, to date there is no information about the history of exacerbations in COPD patients.

The authors have also previously shown that a significant number of exacerbations do not recover to baseline levels in symptoms and/or lung function [6] and it is possible that this nonrecovery may be the mechanism by which exacerbations contribute to lung function decline. Alternatively, the impact of exacerbations may increase over time, resulting in greater airway inflammation, which contributes to the accelerated FEV1 decline. Previously, the authors have shown that exacerbation length (recovery) is related to the magnitude of the acute deterioration (severity) in lung function and symptoms at exacerbation, with exacerbation impact defined by the combination of the severity and the length of recovery [6]. However, there is no previous data available as to how exacerbation impact changes over time.

This study followed 132 COPD patients over 6 yrs and collected data on 1,111 exacerbations. Patients recorded on diary cards daily peak expiratory flow (PEF) and/or spirometry and increase in symptoms. The authors used a set of indices, based on previous descriptions of the time course of symptoms and lung function associated with an exacerbation [6], which divided an exacerbation into a prodromal or baseline period, onset and recovery. The objective of the current study was to investigate whether the frequency, symptom composition and indices of severity and recovery of exacerbations changed over time.

Methods

A total of 177 patients with COPD were recruited from the outpatients dept of the London Chest Hospital in the first 5 yrs of this 6-yr study. In the first year, 99 patients were recruited consecutively and from then on those who subsequently withdrew or died were replaced. The recruitment criteria were an FEV1 <70% predicted from sex, age and height, a FEV1/forced vital capacity (FVC) ratio <70%, β2 agonist reversibility <15% and/or <200 mL, the absence of asthma, bronchiectasis, bronchial carcinoma or other significant respiratory disease, and a willingness to participate in a long-term study. Of these 177 patients, 132 were selected for this analysis on the basis that they had recorded diary card data on a minimum of 365 days. The reasons for 45 patients failing to record sufficient data were withdrawal, death or
inadequate completion of diary cards, combined with late enrollment. The 132 patients did not differ significantly from the 45 excluded patients in any of the characteristics reported in table 1, except for a slightly lower PEF (152 versus 181 L min⁻¹; Wilcoxon p=0.0179) and FVC (2.14 versus 2.44 L; p=0.039). This cohort has been the subject of previous publications, which investigated the relationship of COPD exacerbations to quality of life [1], inflammatory markers [3], lung function decline [5], time course of symptoms and lung function [6], respiratory viruses [7], fibrinogen [8] and bacterial colonisation [9]. This is the first longitudinal analysis on the history of exacerbations with 6 yrs of data.

Enrollment

At recruitment, measurements were made of FEV₁, FVC and PEF by rolling seal spirometer (Sensor Medic Corp., Yorba Lindo, CA, USA), reversibility to 400 μg inhaled salbutamol and arterialised ear lobe blood gases (model 278 Blood Gas Analyzer; Ciba-Corning, Medfield, MA, USA) [10]. A history was taken of smoking habits (years of smoking, current smoking status). Patients were asked about their symptoms of dyspnoea, sputum production, wheeze and cough. The patients were also asked about their long-term inhaled and oral steroid use. The study had ethics approval from the Ethics Committee of the East London and City Health Authority and patients provided written informed consent.

Monitoring and exacerbation

The patients were asked to record postmedication PEF (Mini-Wright Clement Clark International Ltd, Harlow, UK) and any increase over their normal, stable condition in exacerbations with 6 yrs of data. This is the first longitudinal analysis on the history of respiratory viruses [7], fibrinogen [8] and bacterial colonisation [9].

Exacerbation frequency and symptom composition

Exacerbation onset was taken as the first of two or more consecutive days with increase in either two or more major symptoms, or any one major symptom plus any minor symptoms [1, 3, 5, 6]. Symptoms were disregarded in identifying onset if recorded continuous in the 5-day period preceding a suspected exacerbation onset. Two subjects separately identified exacerbations (at clinic visits and at data entry) and then later resolved disagreements in diagnosis and timing. Exacerbations for which no or insufficient diary-card symptoms were recorded by the patient, were on occasion identified by hospital admission for an acute exacerbation of COPD or by questioning at clinic visits. Patients with increased symptoms were encouraged to contact the clinical team by telephone, and were seen prior to treatment generally within 48 h. The exacerbations seen by the clinical team were classified as "reported exacerbations" with those unseen termed "unreported exacerbations". Records were kept of hospitalisation throughout, and from November 1996 onwards, records were available of the date of initiation and type of treatment prescribed to patients both at the authors’ clinic and also that prescribed by the patient’s general practitioner (GP).

Statistical analysis

Data are presented as mean (SD) or median (interquartile range (IQR)) and comparisons performed by unpaired t-test, Wilcoxon matched-paired sign-rank test or a Chi-squared test, as appropriate. Differences in exacerbation frequency between groups were estimated using generalised linear models assuming a poisson distribution in the frequency, and thus 95% confidence intervals (95% CI) are reported rather than IQR.

Indices of exacerbation severity

Exacerbation severity with respect to lung function and symptom count (the sum of the binary coded presence or absence of seven respiratory symptoms) was assessed over a 51-day period. Baseline was taken as the mean of a parameter over days 14–8 preceding exacerbation onset, as no significant changes in lung function and symptoms were seen over this time period. The change in any parameter associated with exacerbation was taken as the difference between baseline and the day of onset. Recovery was the time from onset for a 3-day moving average to equal or exceed the baseline. A moving average was used to avoid false early recoveries when lung function improved for just a single day, but then remained below baseline for a few more days. The authors analysed recovery from exacerbation at 35 days because in most clinical studies a patient not having an exacerbation for 4–6 weeks would be considered stable. Exacerbation nonrecovery was taken as recovery taking >35 days [6].

To assess changes over time of these indices, cross-sectional, generalised linear models were fitted using the xtgee command in Stata 5.0 (Stata Corporation, Texas, USA). These models examine time variations independently of cross-section variations in panel data [11]. The distribution of the dependent variable was specified after inspecting histograms of the data and the independent variable was time in years.

Exacerbation frequency and symptom composition

Each patient was considered to have started on the same day (day 1=November 1, 1995), whether enrolled on or after
that date, to avoid bias from patients recruited later during the study who may have had very high or low exacerbations frequencies. The subsequent 6 yrs were then divided into 24 quarter-yr periods. Within each period, the number of exacerbations was divided by the number of patients involved, and multiplied by four, to give an annual exacerbation frequency. The symptom percentage was calculated by dividing the number of exacerbations with a given symptom by the total number of exacerbations and multiplying by 100. Linear regression was then used to assess time trends in frequency and symptoms. Quarter year periods were chosen as a compromise between year long periods, in which the number of patients at the start and end of the year would differ markedly, and between month long periods in which too few exacerbations would occur to sensibly calculate the symptom percentages. The analysis was repeated with allowance for seasonality, as the findings could potentially be biased by enrollment during the winter or withdrawal just before winter when exacerbations are more common. The exacerbation frequency was calculated as above, but without aligning the start dates of each patient. Sine and cosine terms with a year period, in addition to the trend term, were then fitted by the regression technique described above.

The authors chose not to analyse changes over time in the interval between exacerbations using cross-sectional models, since interval data will over estimate exacerbation frequency towards the end of the study period, when completed intervals for patients with infrequent exacerbations are less likely to be available relative to patients with frequent exacerbations. With the approach adopted by the authors, it was not sensible to analyse differences between groups, such as smoking status towards the end of the study period, when completed intervals for patients with frequent exacerbations and symptoms. Quarter year periods were then fitted by the regression was then used to assess time trends in frequency with those (n=94) with moderate COPD (FEV1 ≥30% pred and <80% pred and FEV1/FVC <70% pred; GOLD category II) whose frequency was 2.68 (2.35–3.01). The FEV1 in the two groups were 0.67 L (sd 0.14) and 1.22 L (0.4), respectively (p<0.001). There was no significant difference in exacerbation frequency between GOLD IIA and GOLD IIB.

There was no significant difference in the exacerbation frequency of those 35 patients who recorded FEV1, and FVC (3.17-yr⁻¹; 95% CI: 2.4–3.9) and the other 97 patients (2.80-yr⁻¹; 2.5–3.1; p=0.286). There was no significant difference in exacerbation frequency between the 64 patients who withdrew early from the study (median 3.06-yr⁻¹; 2.4–3.8) and those who were still participating at the end of the study in November 2001 (2.7-yr⁻¹; 2.3–3.1; p=0.307). There was also no difference in exacerbation frequency between the 19 patients who died and the other 113 patients (2.77 versus 2.92-yr⁻¹; p=0.724).

Annual exacerbation frequency for all 132 patients remained constant during the study, changing by only -0.025-yr⁻¹ (95% CI: -0.065–0.015; p=0.208) from a starting value of 2.88-yr⁻¹. The exacerbation frequency was also constant if allowance was made for seasonality (-0.025-yr⁻¹; -0.054–0.003; p=0.080), although there were significant winter peak to summer trough changes of 1.28 exacerbations-yr⁻¹ (p<0.001). Over time, symptons at exacerbation of both sputum purulence and volume rose by 4.12%-yr⁻¹ (p=0.004) and 5.25%-yr⁻¹ (p=0.001) respectively, whilst wheeze fell by 2.8%-yr⁻¹ (p=0.004) (fig. 1). There was no significant change in the other symptoms (table 2).

### Results

#### Patients

The 132 patients (91 male, 31 female) studied had moderate-to-severe COPD (table 1). Of these, 119 patients took inhaled steroids daily (1.53 (sd 1.1) mg/day⁻¹ beclomethasone equivalents) and 12 patients took a mean 5.91 (3.0) mg/day⁻¹ of oral prednisolone; 10 patients used both oral and inhaled steroids. The subgroup of 35 (31 male, four female) patients who recorded daily FEV1 and FVC were similar to the others for the characteristics reported in table 1, except they had a higher PEF (p=0.001) and a higher percentage of males (p=0.003).

#### Exacerbation frequency, changes over time

The patients participated in the study for a median of 918 (IQR: 666–1,365) days. During the 6 yrs of the study, seven of the 132 patients had no exacerbations and eight patients had just one. There were a total of 1,111 exacerbations of which 971 (87%) were identified from the symptom data recorded by the patients on their diary cards, 123 (11%) by questioning about symptoms at clinic, six by hospital admission alone, eight by treatment by the authors or GP alone, and three were recorded as an exacerbation but other data lost. In total, 511 (46.0%) of all exacerbations were reported and seen by the clinical team. The odds ratio of an exacerbation being reported to the authors clinic team, was 0.84 (95% CI: 0.78–0.91; p<0.001) relative to the preceding year. However, the odds ratio of an exacerbation being treated by the authors or the patient’s GP, relative to the prior year, did not change over time (0.93; 95% CI: 0.84-1.03; p=0.149).

The median exacerbation rate was 2.52 (IQR: 1.34–3.93) exacerbations-yr⁻¹. The exacerbation frequency was 3.43-yr⁻¹ (95% CI: 2.7–4.2), 0.75-yr⁻¹ (0.08–1.43) higher (p=0.029) in patients (n=38) with severe COPD (FEV1 <30% pred and FEV1/FVC <70% pred; Global Initiative for Chronic Obstructive Lung Disease (GOLD) category III), compared with those (n=94) with moderate COPD (FEV1 ≥30% pred and <80% pred and FEV1/FVC <70% pred; GOLD category II) whose frequency was 2.68 (2.35–3.01). The FEV1 in the two groups were 0.67 L (sd 0.14) and 1.22 L (0.4), respectively (p<0.001).

### Exacerbation severity, changes over time

Table 3 shows estimates of the annual change in the time-course indices and their value at the start of the study in November 1995. At the study start, symptom count at exacerbation was estimated as 0.36 and rose to 2.23 at exacerbation onset. Over time, symptom count did not rise significantly during the baseline period or at exacerbation onset, but their difference did increase by 0.05-yr⁻¹ (p=0.047). FEV1 at baseline declined significantly by -3.45 mL-yr⁻¹ (p<0.001) but there was no change over time in FEV1 at exacerbation onset. FVC did not change significantly over time at baseline or the annual change gives how this percentage changed over time. CI: confidence interval. #: nasal congestion/discharge.

<table>
<thead>
<tr>
<th>Symptom</th>
<th>Starting value %</th>
<th>Annual change %-yr⁻¹</th>
<th>95% CI</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dyspnoea</td>
<td>60</td>
<td>1.30</td>
<td>-1.7–4.3</td>
<td>0.383</td>
</tr>
<tr>
<td>Sputum purulence</td>
<td>17</td>
<td>4.12</td>
<td>1.4–6.8</td>
<td>0.004</td>
</tr>
<tr>
<td>Sputum volume</td>
<td>34</td>
<td>5.25</td>
<td>2.2–8.2</td>
<td>0.001</td>
</tr>
<tr>
<td>Cold</td>
<td>31</td>
<td>-1.77</td>
<td>-3.7–0.02</td>
<td>0.073</td>
</tr>
<tr>
<td>Wheeze</td>
<td>38</td>
<td>-2.87</td>
<td>-4.7–1.0</td>
<td>0.004</td>
</tr>
<tr>
<td>Sore throat</td>
<td>13</td>
<td>-0.38</td>
<td>-1.7–0.9</td>
<td>0.556</td>
</tr>
<tr>
<td>Cough</td>
<td>30</td>
<td>-0.81</td>
<td>-2.3–0.7</td>
<td>0.281</td>
</tr>
</tbody>
</table>

The starting value estimates the percentage of exacerbations with a particular symptom had they all occurred at the start of the study and the annual change gives how this percentage changed over time. CI: confidence interval. #: nasal congestion/discharge.
onset. At baseline PEF fell by 2.7 L min⁻¹ yr⁻¹ (p<0.001) but PEF did not change at exacerbation onset over time (p=0.249).

Recovery in FEV₁, FVC and symptom count from exacerbation took significantly longer each year, by 0.55, 0.85 and 0.32 days yr⁻¹, respectively (all p<0.001). No change was seen in recovery of PEF. Similar results were found for exacerbations that were untreated, with recovery respectively taking 0.34, 1.39 and 0.39 days yr⁻¹ longer (all p<0.013). Again, changes in PEF recovery were nonsignificant.

Complete recovery to baseline levels did not always take place: 121 of 1,043 (11.6%) never recovered in PEF, 100 of 1,045 (9.6%) in symptom count; 22 of 221 (10.0%) in FEV₁ and 15 of 199 (7.5%) in FVC. Table 3 also shows that there was no significant change in the number of exacerbations not recovering within 35 days over time.

### Discussion

This is the first study to examine the history of exacerbations in patients with moderate-to-severe COPD. Patients were monitored with daily diary cards, recording daily symptoms, peak flow and/or spirometry. No previous study has prospectively collected such an extensive and detailed data set on exacerbations in a COPD patient group. The main findings were that exacerbations were more frequent and symptoms at exacerbation of sputum purulence became more frequent.

In this study, exacerbations were identified according to criteria previously described and used consistently in all the authors’ studies [1, 3, 5, 6]. Patients were encouraged to report exacerbations to the study team and about half of the exacerbations were reported and seen by the authors’ physicians. Most studies of COPD exacerbation have depended on data involving healthcare utilisation, and so have included only exacerbations reported to healthcare professionals. The authors have previously shown that there are similarities between reported and unreported exacerbations in symptom composition, physiological and symptom changes and recovery [1, 6]. These similarities may be due to under-reporting of exacerbation as COPD patients become accustomed to frequent symptom changes or experience depression [12] that may lead them to accept their situation. In the current study the authors have included both reported and unreported exacerbations and thus have a complete set of exacerbation data. The median exacerbation frequency in this 6-yr study is higher than in other studies [4, 13].

The authors found no overall increase in exacerbation frequency over the 6-yr study period. There is little information in the literature about long-term trends in exacerbation frequency. KANNER et al. [4] reported an increase in the number of lower respiratory tract infections over time in continuous smokers but no change was found in sustained quitters. In this study, 41 patients (31%) were current smokers and so an intermediate finding of no increase would be expected. Exacerbation frequency is widely thought to increase over time because exacerbations are more frequent in severe COPD. GREENBERG et al. [15] have reported that respiratory illnesses are more frequent (3-yr⁻¹) in moderate COPD than in mild COPD (1.8-yr⁻¹). In the current study, the authors found more exacerbations per year in patients with
severe COPD (GOLD category III) than in moderate COPD (GOLD category II), 3.4 yr\(^{-1}\) compared with 2.7 yr\(^{-1}\), respectively. The finding that there is little discernible change in frequency over 6 yrs seems reasonable in view of the following calculation. The difference in exacerbation frequency between moderate and severe COPD was 0.75 yr\(^{-1}\), with a mean FEV\(_1\) in the two groups of 1.22 and 0.67 L, respectively, so with a decline in FEV\(_1\) of 34.5 mL yr\(^{-1}\) as in the current study, it would take 15.9 yrs for the frequency to rise by 0.7, equivalent to an annual rise of only 0.047 exacerbations yr\(^{-1}\).

A large proportion of COPD exacerbations are triggered by respiratory viral infections [7], but there is no evidence to date of increased susceptibility to respiratory viral infection in patients with COPD compared with controls [15]. This would also help to explain the relative stability of the exacerbation frequency over time. Additionally, the relationship between disease severity and exacerbation frequency may not be linear over the different severities of COPD. This study is confined to patients with moderate-to-severe COPD. The stability of the exacerbation frequency may also be due to treatment with bronchodilators [16] and inhaled steroids [17, 18] as both have been shown to have an effect on reducing exacerbation frequency. The absence of any increase in exacerbation frequency over time could not be attributed to the early withdrawal or death of those patients with a high exacerbation frequency, as no difference was found between the median exacerbation rate of those who left the study early or who remained for the duration. In the current study, the authors found more hospitalisations over time. Others have also found that hospital admissions and readmissions are more common in patients with more severe COPD [15]. As exacerbation frequency did not increase much over time, this increase in hospital admissions possibly reflects the greater impact of an exacerbation on a patient whose health has become poorer as they have grown older, and whose increased risk of dying makes the physician more likely to admit.

An important finding in this study is that exacerbation recovery for FEV\(_1\), FVC and symptom count was significantly longer each year. These changes occurred despite an increasing use of oral corticosteroid therapy at exacerbation that has been shown to hasten lung function recovery [19, 20] but could have been contributed to by the decreased use of antibiotics. The authors have previously shown that symptom count and lung function changes at exacerbations are related to exacerbation recovery time [3]. The authors have also shown that exacerbations triggered by viral infections have higher symptom scores and are associated with an increased exacerbation length and recovery [7, 21]. Exacerbations triggered by respiratory viruses or symptomatic colds have been shown to have increased airway and systemic inflammatory markers at exacerbation onset, compared with those where no virus was detected or no cold was reported [3, 7, 8, 21]. Thus, more severe exacerbations are associated with increased airway and systemic inflammation and as patients with increased airway inflammation show faster FEV\(_1\) decline [22] this mechanism may explain how exacerbation severity affects disease progression.

The authors have recently shown that patients with lower airway bacterial colonisation (LABC) have a history of increased exacerbation frequency, compared with patients without LABC, and that these patients have more exacerbations associated with purulent sputum [9]. It has been shown that the presence and load of colonising bacteria in the lower airway may independently modulate airway inflammation in COPD [23]. In addition, LABC in COPD is associated with markers of disease severity [24-27]. One of the major findings in the present study was that, over time, exacerbations were associated with a greater prevalence of sputum purulence and volume. Sputum purulence is related to the detection of a bacterial pathogen at exacerbation [28] and this data therefore suggest that over time, exacerbations may become more severe as they are associated with increasing bacterial loads and airway inflammation. This is also consistent with the finding that antibiotics were more effective at exacerbation in more severe patients [29].

The authors have also previously reported that COPD exacerbation may not recover to baseline [6] and in this study ~10% of the exacerbation did not recover to baseline of either symptom count or peak flow. However, the authors did not find more nonrecovery over time and thus it seems that the decline in lung function is not specifically associated with incomplete recovery at exacerbation.

Exacerbations are an important determinant of health...
status and morbidity in chronic obstructive pulmonary disease. This study suggests that the increasing morbidity from exacerbation is due mainly to the increase in the duration of the exacerbation, rather than to an increase in frequency. Strategies aimed at reducing exacerbation duration, possibly by early treatment, need to be developed and may have important benefits to these chronic obstructive pulmonary disease patients.

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References